# HIF1-alpha functions as a tumor promoter in cancer associated fibroblasts, and as a tumor suppressor in breast cancer cells

Autophagy drives compartment-specific oncogenesis

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Our recent studies have mechanistically implicated a loss of stromal Cav-1 expression and HIF1α-activation in driving the cancer-associated fibroblast phenotype, through the paracrine production of nutrients via autophagy and aerobic glycolysis. However, it remains unknown if  $HIF1\alpha$ -activation is sufficient to confer the cancer-associated fibroblast phenotype. To test this hypothesis directly, we stably-expressed activated  $HIF1\alpha$  in fibroblasts and then examined their ability to promote tumor growth using a xenograft model employing human breast cancer cells (MDA-MB-231). Fibroblasts harboring activated HIF1 $\alpha$  showed a dramatic reduction in Cav-1 levels and a shift towards aerobic glycolysis, as evidenced by a loss of mitochondrial activity, and an increase in lactate production. Activated HIF1 $\alpha$  also induced BNIP3 and BNIP3L expression, markers for the autophagic destruction of mitochondria. Most importantly, fibroblasts expressing activated HIF1 $\alpha$  increased tumor mass by ~2-fold and tumor volume by ~3-fold, without a significant increase in tumor angiogenesis. In this context, HIF1 $\alpha$  also induced an increase in the lymph node metastasis of cancer cells. Similar results were obtained by driving NF $\kappa$ B activation in fibroblasts, another inducer of autophagy. Thus, activated HIF1 $\alpha$  is sufficient to functionally confer the cancer-associated fibroblast phenotype. It is also known that HIF1 $\alpha$  expression is required for the induction of autophagy in cancer cells. As such, we next directly expressed activated HIF1 $\alpha$  in MDA-MB-231 cells and assessed its effect on tumor growth via xenograft analysis. Surprisingly, activated HIF1 $\alpha$  in cancer cells dramatically suppressed tumor growth, resulting in a 2-fold reduction in tumor mass and a three-fold reduction in tumor volume. We conclude that HIF1 $\alpha$  activation in different cell types can either promote or repress tumorigenesis. Based on these studies, we suggest that autophagy in cancer-associated fibroblasts promotes tumor growth via the paracrine production of recycled nutrients, which can directly "feed" cancer cells. Conversely, autophagy in cancer cells represses tumor growth via their "self-digestion." Thus, we should consider that the activities of various known oncogenes and tumor-suppressors may be compartment and cell-type specific, and are not necessarily an intrinsic property of the molecule itself. As such, other "classic" oncogenes and tumor suppressors will have to be re-evaluated to determine their compartment specific effects on tumor growth and metastasis. Lastly, our results provide direct experimental support for the recently proposed "autophagic tumor stroma model of cancer."

### Introduction

The tumor micro-environment is becoming increasingly recognized as a determinant of tumor recurrence, metastasis and clinical outcome in cancer patients.<sup>1-5</sup> In this regard, it appears

that stromal fibroblasts (a.k.a., cancer-associated fibroblasts) play a major role due to their paracrine interactions with adjacent epithelial cancer cells.<sup>6</sup> For example, cancer-associated fibroblasts can induce an EMT in normal epithelial cells,<sup>6</sup> and via this mechanism, they can also promote cancer cell metastasis to distant organ sites.<sup>7,8</sup>

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Recently, we have identified a loss of stromal Cav-1 as a new biomarker for predicting clinical outcome in human breast cancer patients.9 More specifically, we showed that a loss of stromal Cav-1 in cancer-associated fibroblasts is associated with a dramatic increase in early tumor recurrence, lymph node metastasis and tamoxifen-resistance, resulting in poor clinical outcome.9 Importantly, the predictive value of a loss of stromal Cav-1 was independent of epithelial markers status and was effective in all the known sub-types of invasive ductal carcinoma, including ER+, PR+, HER2+ and triple-negative patients.9 Similar results were also obtained with DCIS patients, where a loss of stromal Cav-1 was predictive of progression to invasive breast cancer.<sup>10</sup> Finally, in human prostate cancer, a loss of stromal Cav-1 was strictly associated with advanced prostate cancer, and progression to metastatic disease (both lymph node and bone metastasis).<sup>11</sup> Thus, a loss of stromal Cav-1 may be useful for identifying highrisk patients, suffering from a variety of different epithelial tumor types.5

Mechanistically, we have shown that the prognostic value of a loss of stromal Cav-1 may be related to tumor metabolism.<sup>12</sup> Using Cav-1 (-/-) null mice as a model system, we isolated stromal cells and subjected them to proteomics analysis and genome-wide transcriptional profiling.<sup>12</sup> In this proteomics analysis, Cav-1 (-/-) stromal cell showed the upregulation of: (1) eight myofibroblast markers (such as vimentin and calponin); (2) eight glycolytic enzymes (including PKM2 and LDHA); and (3) two markers of oxidative stress (namely catalase and peroxiredoxin-1).<sup>12</sup> Based on these data, we proposed that a loss of stromal Cav-1 induces aerobic glycolysis (a.k.a., the Warburg effect) in cancer-associated fibroblasts, resulting in the stromal production of energyrich metabolites (such as lactate and pyruvate). These energy-rich metabolites could then be transferred to adjacent epithelial cancer cells in a paracrine fashion and enter their TCA cycle, resulting in increased ATP production and thereby "fueling" tumor growth.12 We have termed this new concept the "reverse Warburg effect,"12 as Cav-1-deficient stromal cells would be fueling oxidative mitochondrial respiration in adjacent epithelial cancer cells. By performing an informatics analysis of the genome-wide profiling data generated via the analysis of Cav-1 (-/-) stromal cells, we have now proposed a model in which a loss of Cav-1 leads to oxidative stress, driving HIF-activation and NFkB-activation in these cells.<sup>13</sup> Cav-1 is a natural endogenous inhibitor of all three NOS isoforms; thus, a loss of Cav-1 increases nitric oxide (NO) production in fibroblasts and mesenchymal stem cells.<sup>13</sup> Increased NO production, in turn, leads to mitochondrial dysfunction and increased ROS production, thereby enhancing oxidative stress.<sup>13</sup> Thus, a loss of Cav-1 in cancer-associated fibroblasts may drive tumor growth via oxidative stress and the stromal activation of HIF and NFκB.<sup>13</sup> However, this hypothesis remains to be tested experimentally.

Using a novel co-culture system, employing stromal fibroblasts (hTERT-BJ1 cells) and a breast cancer cell line (MCF7 cells), <sup>14</sup> we next reconstituted the paracrine interactions that may occur in the tumor micro-environment. In this system, MCF7 cancer cells induced ROS production and oxidative stress in adjacent fibroblasts, <sup>15</sup> driving Cav-1 lysosomal degradation via

autophagy,<sup>14</sup> as well as the activation of HIF1α- and NFκBdriven gene transcription, as determined via luciferase-reporters expressed selectively in stromal fibroblasts.<sup>16</sup> Using this system, we also presented novel evidence that an acute loss of Cav-1 is sufficient to induce oxidative stress, resulting in mitochondrial dysfunction and the removal of defective mitochondria by an autophagic process—leading to the onset of aerobic glycolysis.<sup>15</sup> In addition, acute knock-down of Cav-1 in fibroblasts is sufficient to promote the induction and/or stabilization of HIF1α, as well as the upregulation of PKM2, a rate-limiting glycolytic enzyme which is sufficient to drive aerobic glycolysis.<sup>15</sup> In this sense, a loss of stromal Cav-1 is both upstream and downstream of oxidative stress, 15 which is a key initiator of the autophagic process. In support of this notion, prolonged exposure of fibroblasts to hypoxic conditions was sufficient to induce the downregulation of Cav-1 via autophagy, further implicating HIF-activation in this process.<sup>16</sup> In this regard, hypoxia, HIF-activation and NFkB-activation are all sufficient to drive the onset of autophagy, providing a "feed-forward" mechanism for the autophagydriven degradation of Cav-1. Thus, the induction of autophagy in cancer-associated fibroblasts would provide both energy-rich metabolites via aerobic glycolysis, as well as other recycled chemical building blocks (such as amino acids and nucleotides) via cellular catabolism.

However, it remains unknown if HIF1α- or NFκB-activation in cancer-associated fibroblasts is sufficient to drive Cav-1 downregulation and promote tumor growth. To address this issue, here we generated a panel of immortalized fibroblast cell lines expressing HIF1α (wild-type or mutationally-activated) or IKBKE, a known kinase activator of NFkB. Interestingly, the fibroblasts expressing activated HIF1α had the greatest capacity for promoting tumor growth. Similar results were obtained with fibroblasts expressing IKBKE. However, the ability of these fibroblast cell lines to promote tumor growth was not due to increased angiogenesis, as no significant increases in micro-vessel density were observed. Importantly, both of these fibroblast cell lines showed (1) a dramatic loss of Cav-1 expression and (2) overexpressed BNIP3L, a known hypoxia-induced marker of autophagy/ mitophagy. Conversely, when we expressed activated HIF1α in breast cancer cells (MDA-MB-231), this significantly impeded tumor growth, resulting in a two-fold reduction in tumor mass and a three-fold reduction in tumor volume. Thus, we propose that the tumor promoter and tumor suppressor activity of HIF1α is cell-type- and compartment-specific, and may be due to its ability to functionally induce autophagy. In accordance with this idea, both fibroblasts and breast cancer cells expressing activated HIF1 $\alpha$  showed the characteristic induction of autophagosomes, as visualized by immuno-staining with LC3 antibodies.

# Results

Activated HIF1 $\alpha$  is sufficient to downregulate Cav-1 expression in fibroblasts, via autophagic/lysosomal degradation. Recently, we showed that hypoxia is sufficient to induce the loss of Cav-1 in cultured fibroblasts, via autophagy. However, it remains unknown whether HIF1-activation is indeed sufficient

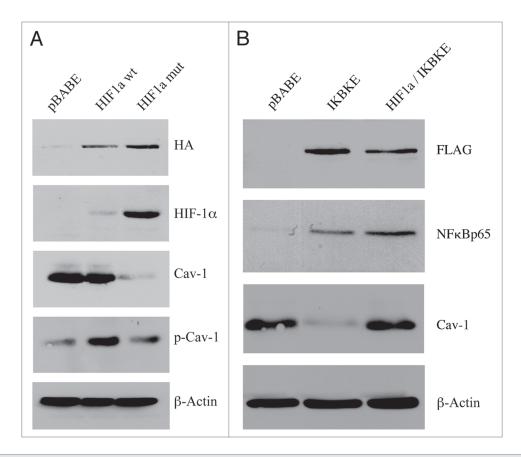


Figure 1. Expression of activated HIF1a or IKBKE in fibroblasts downregulates Cav-1 protein expression. (A) Generating stable fibroblast cell lines expressing HIF1a. hTERT-BJ1 fibroblasts were transduced with retroviral vectors harboring HIF1a (wt, wild-type; or mut, mutationally activated) or the pBABE vector alone as a negative control. After selection for puromycin resistance, the "pool" was subjected to immuno-blot analysis with a battery of antibody probes. HIF1a expression was confirmed using antibodies directed against HIF1a, as well as the HA-epitope tag. Cav-1 expression was monitored with antibodies directed against total Cav-1 and tyrosine-phosphorylated Cav-1 (pY14). Note that activated HIF1a downregulates total Cav-1 levels, but increases the relative levels of phospho-Cav-1. In contrast, wild-type HIF1a only increases the levels of phospho-Cav-1. Immunoblotting with beta-actin is shown as a control for equal loading. (B) Generating stable fibroblast cell lines expressing IKBKE. hTERT-BJ1 fibroblasts were transduced with IKBKE, alone or in combination with activated HIF1a. See the Materials and Methods section for more details on how the double-transfectant was generated. IKBKE expression was confirmed using antibodies directed against NFκB (p65), as well as the FLAG-epitope tag. Cav-1 expression was monitored with antibodies directed against total Cav-1. Note that IKBKE downregulates total Cav-1 levels, but that co-expression of IKBKE and activated HIF1a reverts this phenotype. Immunoblotting with beta-actin is shown as a control for equal loading.

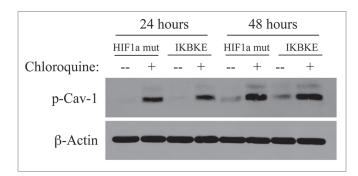
to induce a loss of Cav-1 expression. To address this issue, here we stably expressed wild-type and mutationally activated HIF1-alpha (HIF1 $\alpha$  wt and mut) in immortalized fibroblasts, namely hTERT-BJ1 cells and then assessed the status of Cav-1 expression.

Figure 1A directly shows that the expression of wild-type HIF1 $\alpha$  was not sufficient to downregulate Cav-1. However, we observed a near 2-fold increase in tyrosine-phosphorylated Cav-1 (pY14). Conversely, expression of activated HIF1 $\alpha$  induced a dramatic reduction in total Cav-1 levels. In addition, fibroblasts harboring activated HIF1 $\alpha$  also showed an increase in tyrosine-phosphorylated Cav-1, as compared with the total levels of Cav-1. As the tyrosine phosphorylation of Cav-1 has been previously associated with (1) oxidative-stress and (2) endocytic internalization of caveolae, 17-19 we speculated that HIF1 activation may be sufficient to induce the tyrosine-phosphorylation of Cav-1, leading to its internalization and degradation by the lysosome. This is consistent with the idea that caveolae and Cav-1 are degraded by HIF-induced autophagy.

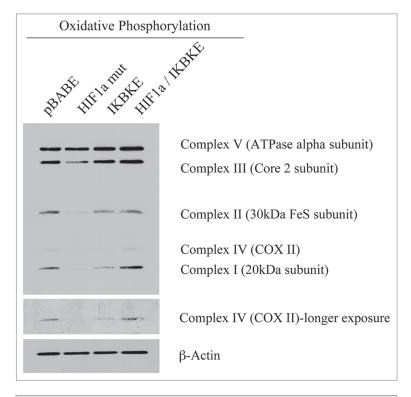
To test this hypothesis, we next incubated fibroblasts harboring activated HIF1 $\alpha$  with chloroquine, a known inhibitor of lysosomal degradation and autophagy. As shown in **Figure 2**, treatment with chloroquine resulted in the accumulation of the tyrosine-phosphorylated form of Cav-1 as expected.

NF $\kappa$ B activation also functions as an activator of autophagy. Thus, we stably overexpressed IKBKE (a kinase activator of NF $\kappa$ B signaling) in fibroblasts. NF $\kappa$ B was activated, as expected and Cav-1 protein levels were dramatically reduced, as predicted (Fig. 1B). In accordance with the NF $\kappa$ B-induced autophagic digestion of Cav-1, chloroquine treatment similarly resulted in the accumulation of tyrosine-phosphorylated Cav-1. Taken together, our results are consistent with a model in which the activation of autophagy (by hypoxia, HIF1 $\alpha$  or NF $\kappa$ B) is sufficient to drive the lysosomal degradation of tyrosine-phosphorylated Cav-1.

Interestingly, the co-expression of both activated HIF1 $\alpha$  and IKBKE was sufficient to revert this phenotype, restoring Cav-1 to normal levels (Fig. 1B). Thus, we have created a new model



**Figure 2.** Chloroquine rescues the expression of phospho-Cav-1 (pY14) in activated HIF1a and IKBKE transfected fibroblasts. hTERT-BJ1 fibroblasts recombinantly expressing activated HIF1a or IKBKE were incubated with chloroquine for 24 or 48 hours and then subjected to immunoblot analysis with antibodies directed against phospho-Cav-1 (pY14). Note that treatment with chloroquine rescues the expression of phospho-Cav-1 (pY14) in both fibroblast cell lines. Immunoblotting with beta-actin is shown as a control for equal loading.



**Figure 3.** Reductions in mitochondrial respiratory chain complexes in activated HIF1a and IKBKE transfected fibroblasts. To assess the status of the mitochondrial respiratory chain, fibroblast lysates were prepared and subjected to immunoblot analysis with a battery of antibodies directed against mitochondrial complex components (I–V). Note that expression of activated HIF1a reduces components of mitochondrial complex I, II, III and IV, while expression of IKBKE reduces components of complex I and IV. However, co-expression of activated HIF1a and IKBKE reverts this phenotype. Immunoblotting with beta-actin is shown as a control for equal loading.

system in which we can drive the autophagic degradation of Cav-1 by activation of HIF1 $\alpha$  or NF $\kappa$ B, and we can revert this phenotype by co-activation of both HIFa and NF $\kappa$ B in the same cell.

Activation of HIF1α in fibroblasts induces mitophagy. Autophagy results in the degradation of many cell organelles, including mitochondria.<sup>21</sup> Thus, we also assessed the status of mitochondria in fibroblasts stably expressing activated HIF1α. For this purpose, we determined the levels of mitochondrial enzymes associated with the respiratory chain.

Figure 3 shows that the expression of activated HIF1 $\alpha$  in fibroblasts significantly reduces the expression of mitochondrial complex I, II, III and IV. Similarly, the expression of IKBKE leads to reductions in mitochondrial complex I and IV. Remarkably, the co-expression of activated HIF1 $\alpha$  and IKBKE reverts this phenotype, just as it restored the expression of Cav-1 (Fig. 1B). These results are consistent with the idea that activation of HIF1 $\alpha$  in fibroblasts also results in mitophagy.

BNIP3 and BNIP3L are close relatives, but they are separate genes with a similar function in targeting dysfunctional mitochondria for destruction by autophagy, a.k.a, mitophagy.  $^{22-24}$  To further assess the association between HIF1 $\alpha$  activation

and mitophagy, we next examined the expression of BNIP3 and BNIP3L, which are established markers of mitophagy.<sup>22,23</sup> BNIP3 and BNIP3L specifically target mitochondria for degradation and their transcriptional expression is induced by HIF1α activation.<sup>22-24</sup>

Figure 4 directly shows that the BNIP3L protein was strongly induced by the stable expression of HIF1 $\alpha$  (wt or mutationally-activated) or IKBKE, as visualized by Western blot analysis. Conversely, co-expression of activated HIF1 $\alpha$  and IKBKE reverted this phenotype, consistent with our results using Cav-1 and mitochondrial respiratory enzymes as markers.

Figure 5 directly demonstrates that both BNIP3 and BNIP3L are highly expressed in fibroblasts harboring activated HIF1 $\alpha$ , and that they assume a distinct morphological pattern, consistent with mitochondrial and/or autophagosomal localization. LC3 is another independent marker that is used for the visualization of autophagosomes. Similarly, LC3 immuno-staining yields a characteristic fluorescence pattern that is consistent with the autophagic phenotype (Fig. 6), in fibroblasts expressing activated HIF1 $\alpha$ .

Activation of HIF1α induces aerobic glycolysis in fibroblasts. To examine the phenotypic effects of a loss of functional mitochondria via autophagy, we next turned to proteomics and metabolism for validation studies. Tables 1 and 2 list the protein spots identified by mass spec from the proteomic analysis of fibroblasts harboring activated HIF1α and IKBKE. Interestingly, we see that numerous enzymes associated with glycolysis (PGK1, LDHA, TPI1, ENO1, ALDOA, GAPDH, PGAM1, PGM1 and PKM2) are elevated in fibroblasts expressing activated HIF1α, as well as myofibroblast markers and extracellular matrix proteins

associated with activated fibroblasts. Similarly, IKBKE fibroblasts show a proteomic shift towards glycolysis and proteins associated with the stress response. Importantly, many of the proteins that were induced by activated HIF1 $\alpha$  or IKBKE in

fibroblasts are highly expressed in the tumor stroma of human breast cancer patients and are associated with tumor recurrence or metastasis (Suppl. Tables 1 and 2). Remarkably, hTERT is also overexpressed in the tumor stroma of human breast cancer patients and its expression is associated with tumor recurrence and metastasis (Suppl. Tables 1 and 2), supporting our choice of hTERT-immortalized fibroblasts for these studies.

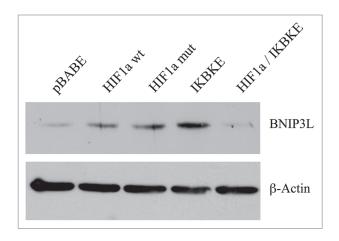
Next, we examined lactate accumulation in the tissue culture media derived from these cultured fibroblasts (Fig. 7). Note that fibroblasts expressing activated HIF1 $\alpha$  showed the most lactate production (p  $\leq$  0.02). Similarly, fibroblasts harboring IKBKE also showed a trend toward increased lactate production, but did not reach statistical significance. Thus, these results provide functional support for our data from proteomics analysis and are consistent with mitophagy, as well as a shift towards aerobic glycolysis, in fibroblasts harboring activated HIF1 $\alpha$ .

Fibroblasts harboring activated HIF1 $\alpha$  promote the mitochondrial activity of adjacent cancer cells in a paracrine fashion. We next used MitoTracker as a probe to functionally validate

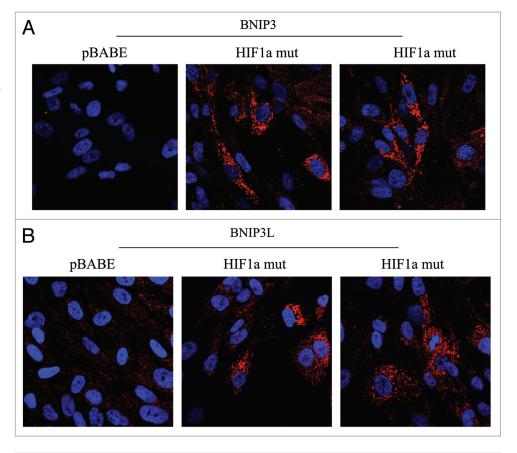
the loss of respiratory chain components (complex I, II, III and IV) in fibroblasts harboring activated HIF1 $\alpha$ . Figure 8A shows that mitochondrial activity is substantially decreased, as predicted. This is also consistent with the induction of mitophagy by activated HIF1 $\alpha$ , <sup>24</sup> and may simply represent a decrease in mitochondrial mass and/or function, driving a shift towards lactate production. <sup>26</sup>

How does autophagy and aerobic glycolysis affect metabolism in adjacent cells? To address this issue, we co-cultured fibroblasts expressing activated HIF1 $\alpha$  with a human breast cancer cell line, namely MDA-MB-231 cells. Mitochondrial metabolic activity, as visualized by MitoTracker staining, was increased in MDA-MB-231 cells co-cultured with fibroblasts expressing activated HIF1 $\alpha$  (Fig. 8B). Thus, autophagy and aerobic glycolysis in fibroblasts may be providing nutrients (such as lactate) to the adjacent cancer cells.

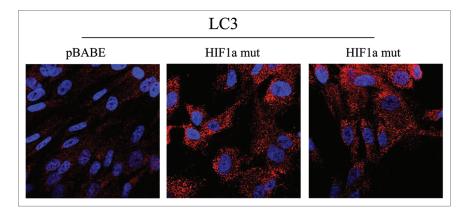
Fibroblasts harboring activated HIF1 $\alpha$  drive substantial increases in tumorigenesis and metastasis, without a significant increase in angiogenesis. Having now phenotypically characterized the behavior of fibroblasts with activated HIF1 $\alpha$  in cell culture, we next studied their effects on mammary



**Figure 4.** BNIP3L protein expression is strongly induced in HIF1a and IKBKE transfected fibroblasts. BNIP3L is a marker of mitochondrial autophagy, i.e., mitophagy, which is transcriptionally-induced by HIF1a activation. Note that expression of HIF1a (wild-type or mutationally-activated) or IKBKE induces BNIP3L expression. However, co-expression of activated HIF1a and IKBKE reverts this phenotype. Immunoblotting with beta-actin is shown as a control for equal loading.



**Figure 5.** Localization of mitophagy markers (BNIP3 and BNIP3L) in activated HIF1a transfected fibroblasts. BNIP3 and BNIP3L are two distinct genes which are markers of mitophagy, and are thought to be under the transcriptional control of HIF1a activation. Note that both BNIP3 (A) and BNIP3L (B) are upregulated in fibroblasts harboring activated HIF1a, as compared with the vector alone control (pBABE). Both show a morphological pattern that is consistent with mitochondrial and/or autophagosomal localization, as expected.



**Figure 6.** Localization of LC3, an autophagy marker, in activated HIF1a transfected fibroblasts. LC3 is a well-established marker of autophagosomes. Note that LC3 immunostaining yields a characteristic fluorescence pattern that is consistent with the autophagic phenotype, in fibroblasts expressing activated HIF1a.

tumorigenesis in vivo. For this purpose, these fibroblasts were co-injected with MDA-MB-231 cells in the flanks of nude mice. Importantly, none of the fibroblast cell lines we studied, including those expressing activated HIF1 $\alpha$ , were tumorigenic in nude mice (**Table 3**). In contrast, nearly all the mice injected with MDA-MB-231 cells (with or without fibroblasts) developed tumors. However, the identification of lymph node involvement was strictly associated the expression of either HIF1 $\alpha$  or IKBKE in fibroblasts (**Table 3**). As these MDA-MB-231 cells are GFP-tagged, we confirmed that these breast cancer cells had indeed metastasized to the peri-nodal fat by fluorescence microscopy (**Fig. 9**).

Figure 10A and B show that the expression of activated HIF1 $\alpha$  in fibroblasts substantially promoted tumor growth, resulting in an ~2-fold increase in tumor mass and a near three-fold increase in tumor volume. Similarly, fibroblasts expressing wild-type HIF1 $\alpha$  or IKBKE also promoted tumor growth, but activated HIF1 $\alpha$  showed the largest tumor promoting activity. Conversely, co-expression of activated HIF1 $\alpha$  and IKBKE in fibroblasts abrogated this tumor promoting activity, as expected based on our in vitro phenotypic analysis, showing a reversion of their autophagic/mitophagic phenotype.

One possibility is that the observed increases in tumor growth were due to increased tumor angiogenesis. To test this hypothesis, frozen sections from the tumors were immunostained with anti-CD31 antibodies and vessel density was quantitated. Interestingly, no significant increases in vessel density were observed (Fig. 10C), suggesting that the tumor promoting effects of the transfected fibroblasts we observed were independent of angiogenesis.

As these experiments were performed at 4 weeks post-tumor cell injection, one possibility is that there was a "spurt" in angiogenesis earlier in the time course, which subsided by 4 weeks. To address this issue, the tumor promoting effects of fibroblasts harboring activated HIF1 $\alpha$  were also assessed at 2 weeks post tumor cell injection. Figure 11 shows that the tumor promoting effects of fibroblasts expressing activated HIF1 $\alpha$  were still evident

earlier on in tumorigenesis, but no significant increases in angiogenesis were observed.

We also assessed whether expression of activated HIF1\alpha in fibroblasts results in mammary tumors with a Cav-1 negative fibroblastic stromal compartment. For this purpose, consecutive frozen sections were cut from mammary tumors that were grown with fibroblasts expressing activated HIF1 $\alpha$ . Figure 12 shows that Cav-1 expression is substantially reduced in the stromal compartment of these tumors, but is selectively retained in the micro-vasculature (endothelial cells), exactly as is observed in human breast cancers in vivo. The presence of these Cav-1 negative fibroblasts was confirmed in consecutive sections by employing antibodies directed against glycolytic enzymes, such

as PKM2 and LDHB. Note that PKM2 and LDHB immunostaining (lower parts) nicely coincides with the Cav-1 negative stromal area in these MDA-MB-231 tumor tissue sections and does not significantly overlap with the tumor cells which are marked by GFP (green fluorescence).

Expression of activated HIF1 $\alpha$  in human breast cancer cells induces autophagy and dramatically retards tumor growth. To study the possible compartment-specific effects of HIF1 $\alpha$  activation on breast cancer tumor growth, we also directly expressed HIF1 $\alpha$  (wt or mutationally activated) in MDA-MB-231 cells. We hypothesized that HIF1 $\alpha$  expression in cancer cells would induce autophagy and retard tumor growth, due to the "self-digestion" of the tumor cells.

To test this hypothesis directly, MDA-MB-231 cells were injected into the flanks of nude mice. Two-weeks post-injection, tumors were harvested. Figure 13A shows that the expression of activated HIF1 $\alpha$  in MDA-MB-231 tumor cells significantly retards tumor growth, resulting in >2-fold reduction in tumor mass and a >3-fold reduction in tumor volume.

Mechanistically, we believe that this is due to the HIF1 $\alpha$ -mediated induction of autophagy in the tumor cells. In support of this hypothesis, we observe that expression of activated HIF1 $\alpha$  dramatically downregulates Cav-1 expression (Fig. 13B), which we have shown is a marker for autophagy in fibroblasts (see Figs. 1 and 2). In addition, we also see the characteristic upregulation of LC3-positive immuno-staining of autophagosomes in MDA-MB-231 cells expressing activated HIF1 $\alpha$  (Fig. 13C). However, no HIF1 $\alpha$ -induced changes in the levels of BNIP3L or mitochondrial respiratory chain complexes were observed (Fig. 14A and B), suggesting that mitophagy is not constitutively activated in these cancer cells.

Thus, we conclude that the tumor-promoting and tumor-suppressing effects of activated HIF1 $\alpha$  are both compartment- and cell-type specific and are not an intrinsic property of the molecule itself. Thus, other "classic" oncogenes and tumor suppressors will have to be re-evaluated to determine their compartment specific effects on tumor growth and metastasis.

**Table 1.** Proteomic analysis of fibroblasts harboring activated HIF1 $\alpha$ 

Table 1. Floteoffic analysis of fibroblasts flatbolling activated Fir in	Fold change (HIF1α	Accession number	Protein spot number
Glycolytic Enzymes and Metabolism Related Proteins	mut/pBABE)		
Phosphoglycerate kinase 1 (PGK1)	2.01	gi 48145549	29
Phosphoglycerate kinase 1 (PGK1)	1.72	gi 48145549	28
Lactate dehydrogenase A (LDHA)	1.69	gi 13786849	34
Triosephosphate isomerase 1 (TPI1)	1.67	gi 999892	38
Triosephosphate isomerase 1 (TPI1)	1.31	gi 4507645	37
Enolase 1, (alpha) (ENO1)	1.58	gi 203282367	20
Enolase 1, (alpha) (ENO1)  Enolase 1, (alpha) (ENO1)	1.55	gi 4503571	21
	1.37	3 1	19
Enolase 1, (alpha) (ENO1)	1.49	gi 4503571	30
Aldolase A, fructose-bisphosphate (ALDOA)	1.49	gi 4557305	
Glyceraldehyde-3-phosphate dehydrogenase (GAPDH)		gi 31645	33
Glyceraldehyde-3-phosphate dehydrogenase (GAPDH)	1.38	gi 31645	43
Glyceraldehyde-3-phosphate dehydrogenase (GAPDH)	1.37	gi 7669492	44
Ubiquinol-cytochrome <i>c</i> reductase, (UQCRFS1) Rieske iron-sulfur polypeptide 1	1.43	gi 54036562	39
Phosphoglycerate mutase 1 (brain) (PGAM1)	1.42	gi 38566176	35
Phosphoglycerate mutase 1 (brain) (PGAM1)	1.39	gi 38566176	36
Pyruvate kinase, muscle isoform (PKM2)	1.30	gi 67464392	17
Phosphoglucomutase 1 (PGM1)	1.29	gi 21361621	15
Myofibroblast and Extracellular Matrix Associated Proteins			
Fibronectin 1 (FN1)	1.61	gi 47132555	1
Fibronectin 1 (FN1)	1.57	gi 47132555	2
Procollagen-lysine, 2-oxoglutarate 5-dioxygenase 2 (PLOD2)	1.49	gi 33636742	5
Procollagen-lysine, 2-oxoglutarate 5-dioxygenase 2 (PLOD2)	1.44	gi 33636742	6
Collagen, type VI, alpha2 (COL6A2)	1.30	gi 115527062	3
Caldesmon 1 (CALD1)	1.48	gi 15149465	9
Caldesmon 1 (CALD1)	1.38	gi 119604234	10
Leprecan-like 2 (LEPREL2)**	1.45	gi 28466983	4
Prolyl 4-hydroxylase, alpha polypeptide I (P4HA1)	1.35	gi 190788	14
Heterogeneous Nuclear Ribonucleoproteins and RNA Splicing			
Heterogeneous nuclear ribonucleoprotein R (HNRNPR)	1.41	gi 5031755	8
Heterogeneous nuclear ribonucleoprotein L (HNRNPL)	1.36	gi 211828181	16
DEAH (Asp-Glu-Ala-His) box polypeptide 15 (DHX15)	1.35	gi 119613226	45
Heterogeneous nuclear ribonucleoprotein K (HNRNPK)	1.34	gi 119583084	11
Other			
ERO1-like (S. cerevisiae) (ERO1L) oxidoreductase	1.48	gi 7657069	13
Microtubule-associated protein, RP/EB family, member (MAPRE1)	1.42	gi 6912494	32
S100 calcium binding protein A13 (S100A13)	1.40	gi 82407535	42
Eukaryotic translation elongation factor 2 (EEF2)	1.36	gi 4503483	7

<sup>\*\*</sup>LEPREL2 belongs to a family of collagen prolyl hydroxylases required for proper collagen biosynthesis, folding and assembly.

# **Discussion**

Role of HIF1 $\alpha$ , NF $\kappa$ B and autophagy in compartment-specific oncogenesis. Here, we have used a compartment-specific approach to dissect the role of HIF1 $\alpha$  activation in the pathogenesis of breast cancer. More specifically, we show that

activated HIF1 $\alpha$  functions as a tumor promoter in cancerassociated fibroblasts. In striking contrast, we demonstrate that activated HIF1 $\alpha$  functions as a tumor suppressor in breast cancer cells. We present evidence that the compartment-specificity of HIF1 $\alpha$  may be related to the induction of autophagy in a given cell type. This provides a new model for

Table 2. Proteomic analysis of IKBKE-transfected fibroblasts

Table 2. Proteoffic analysis of INDRE-transfected fibrioblasts	Fold shower		
	Fold change (IKBKE/pBABE)	Accession number	Protein spot #
Glycolytic Enzymes and Metabolism Related Proteins			
Iron-sulfur cluster scaffold homolog (E. coli) (ISCU2)	1.56	gi 56699456	3
Phosphorylase, glycogen; brain (PYGB)	1.46	gi 1172226	8
Enolase 1, (alpha) (ENO1)	1.41	gi 4503571	30
Enolase 1, (alpha) (ENO1)	1.38	gi 4503571	31
Enolase 1, (alpha) (ENO1)	1.33	gi 4503571	29
Uracil DNA-glycosylase/Glyceraldehyde-3-phosphate dehydrogenase; Aging- associated gene 9 protein (UDG/GAPDH)	1.40	gi 35053; gi 31645; gi 54303910	35
Uracil DNA-glycosylase/Glyceraldehyde-3-phosphate dehydrogenase; Aging- associated gene 9 protein (UDG/GAPDH)	1.38	gi 35053; gi 31645; gi 54303910	33
ATP citrate lyase (ACLY)	1.37	gi 38569421	4
ATP citrate lyase (ACLY)	1.37	gi 38569421	5
Pyruvate kinase, muscle isoform (PKM2)	1.35	gi 114657944	26
Lactate dehydrogenase B (LDHB)	1.33	gi 49259209	32
<b>Proteins Associated with the Stress Response</b>			
Chaperonin containing TCP1, subunit 7 (eta) (CCT7)	1.43	gi 5453607	25
Chaperonin containing TCP1, subunit 4 (delta) (CCT4)	1.41	gi 119620391	27
Heat shock 60 kDa protein 1 (Chaperonin) (HSPD1)	1.35	gi 77702086	20
Chaperonin containing TCP1, subunit 3 (gamma) (CCT3)	1.34	gi 14124984	23
Chaperonin containing TCP1, subunit 5 (epsilon) (CCT5)	1.33	gi 24307939	21
Chaperonin containing TCP1, subunit 6A (zeta1) (CCT6A)	1.33	gi 121490543	24
T-complex protein 1 (TCP1)	1.33	gi 57863257	22
Peroxiredoxin 4 (PRDX4)	1.33	gi 119587270	1
Heterogeneous Nuclear Ribonucleoproteins, RNA Splicing and DNA Repair			
XP (xeroderma pigmentosum) associated binding protein 2 (XAB2)	1.47	gi 14250630	37
CCHC-type zinc finger, nucleic acid binding protein (CNBP)	1.38	gi 119599682	38
Far upstream element (FUSE) binding protein 1 (FUBP1)	1.35	gi 119626762	17
KH-type splicing regulatory protein (KHSRP); FUSE-binding protein 2 (FUBP2)	1.35	gi 2055427	9
Heterogeneous nuclear ribonucleoprotein K (HNRNPK)	1.37	gi 55958547	19
Tamoxifen-Resistance and Multi-Drug Resistance			
Major vault protein (MVP)	1.69	gi 119600392	12
Nucleolin (NCL)	1.58	gi 119591368	10
Other			
Integrin beta1 binding protein 1 (ITGB1BP1)	1.80	gi 119621396	11
Actin related protein 2/3 complex subunit 2 (ARPC2)	1.41	gi 5031599	36
Valosin-containing protein (VCP)	1.40	gi 6005942	14
Staphylococcal nuclease and tudor domain containing 1 (SND1)	1.39	gi 77404397	6
Eukaryotic translation elongation factor 2 (EEF2)	1.37	gi 4503483	7
Vimentin (VIM)	1.34	gi 62414289	28

understanding the complex role of autophagy in tumorigenesis and metastasis (See Fig. 15).

Previously, we demonstrated that a loss of stromal Cav-1 is a powerful, single independent, biomarker for predicting clinical outcome in human breast cancer patients. A loss of stromal Cav-1 is associated with tumor recurrence, metastasis and drugresistance, conferring poor clinical outcome in human breast cancer patients. For example, in triple-negative breast cancer

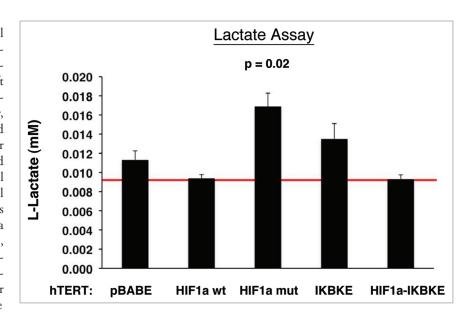
patients with high stromal Cav-1, their 5-year survival is >75%. <sup>27</sup> However, the same patient population with low stromal Cav-1 has a 5-year survival rate of <10%. <sup>27</sup> Thus, a loss of stromal Cav-1 can now be used to identify high-risk patients at diagnosis and for treatment stratification employing more aggressive therapies. <sup>5</sup>

To mechanistically understand the powerful prognostic value of a loss of stromal Cav-1, we turned to Cav-1 (-/-) null mice as a model for a Cav-1-deficient tumor stroma.<sup>12</sup> Proteomic and

transcriptional profiling of Cav-1 (-/-) stromal cells revealed that a loss of Cav-1 in this cellular context results in HIF1α- and NFκBtarget gene activation and a functional shift towards the expression of enzymes associated with aerobic glycolysis. 12,13 Moreover, co-culture studies employing fibroblasts and MCF7 cells revealed that epithelial cancer cells transcriptionally activate HIF1α- and NFkB-luciferase reporters in adjacent stromal fibroblasts, co-incident with a loss of stromal Cav-1 expression.<sup>16</sup> Under hypoxic conditions or conditions that mimic hypoxic stress, via the pharmacological induction of  $HIF1\alpha$ , Cav-1 is dramatically downregulated in fibroblasts.16 Since both anti-oxidants and lysosomal inhibitors effectively block the cancer cell-induced downregulation of Cav-1,15 we have proposed that oxidative stress, which drives the activation of both HIF1a and NFkB, leads to the autophagic degradation of caveolae and Cav-1 via a lysosomal-based mechanism.<sup>15</sup> In addition, acute knockdown of Cav-1 in fibroblasts using an siRNA approach is sufficient to induce ROS production and oxidative stress.<sup>15</sup> Thus, it appears

that Cav-1 downregulation is both upstream and down-stream of the activation of HIF1 $\alpha$  and NF $\kappa$ B,<sup>13</sup> as the loss of Cav-1 provides a feed-forward mechanism for generating more oxidative stress.<sup>15</sup>

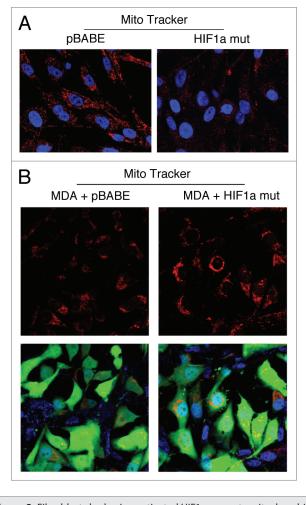
Here, we set out to test the hypothesis that we could phenocopy the tumor promoting effects of a loss of stromal Cav-1, by using its down-stream targets, namely HIF1α and NFκB. Previously, using a mouse xenograft model, we have shown that stromal fibroblasts lacking Cav-1 have the ability to promote an -2.5-fold increase in MDA-MB-231 tumor growth.<sup>28</sup> We have attributed this growth promoting activity to the induction of the "Warburg effect" in Cav-1-deficient cells, resulting in a glycolytic fibroblast phenotype.<sup>28</sup> We proposed that energy-rich metabolites (such as lactate and pyruvate) would be secreted by these glycolytic cancer-associated fibroblasts, so that they may enter the TCA cycle and undergo oxidative metabolism in adjacent cancer cells.<sup>28</sup> Here, we present clear evidence to support this hypothesis, as fibroblasts harboring activated HIF1α undergo aerobic glycolysis and produce more lactate. Co-culture of these HIF1 $\alpha$ expressing fibroblasts increases mitochondrial activity in adjacent cancer cells, as visualized by MitoTracker staining. We believe that this stromal-epithelial metabolic coupling could provide the energy necessary to fuel increased tumor growth. In accordance with this hypothesis, fibroblasts expressing activated HIF1α dramatically increased tumor growth, resulting in a 2-fold increase in tumor mass and a 3-fold increase in tumor volume. Similar results were obtained with fibroblasts expressing IKBKE, which is known to activate NFkB. Hypoxic/glycolytic cancer epithelial cells<sup>29</sup> may also perform a similar function as autophagic/ glycolytic fibroblasts, by providing energy-rich metabolites in a



**Figure 7.** Lactate accumulation in activated HIF1a transfected fibroblasts. hTERT fibroblasts expressing HIF1a (wild-type or mutationally activated) or IKBKE were grown in tissue culture media. Then, the media was harvested and subjected to a biochemical analysis to determine its lactate content. Note that fibroblasts expressing activated HIF1a secrete the most lactate (p  $\leq 0.02$ ), as expected. Similarly, fibroblasts expressing IKBKE also secrete more lactate, although it did not reach statistical significance. A red line indicates baseline levels of lactate relative to HIF1a wild-type.

paracrine fashion to cancer cells which are using oxidative mitochondrial metabolism.<sup>30</sup> In this regard, we have recently shown that the systemic administration of energy-rich metabolites, such as ketones (3-hydroxy-butyrate) and L-lactate, dramatically promotes both breast cancer tumor growth and metastasis, using MDA-MB-231 cells.<sup>30</sup>

Activation of HIF1α and/or the IKK complex are sufficient to induce autophagy. 20,22,23 Interestingly, fibroblasts expressing either activated HIF1 $\alpha$  or IKBKE showed a dramatic loss of Cav-1 protein expression, consistent with its known degradation by autophagy. Using established markers of autophagy/mitophagy, such as BNIP3, BNIP3L and LC3, we further validated the idea that fibroblasts expressing HIF1α or IKBKE undergo mitophagy. Similarly, these fibroblasts also showed the downregulation of mitochondrial respiratory chain components, consistent with either mitophagy or a reduction in mitochondrial biogenesis. Thus, the induction of autophagy/mitophagy in fibroblasts provides a common mechanism for acutely eliminating both Cav-1 expression and functional mitochondria, explaining the association of a loss of stromal Cav-1 with a shift towards aerobic glycolysis in stromal cells. Importantly, the induction of autophagy in cancer-associated fibroblasts would provide both energy-rich metabolites via aerobic glycolysis, as well as other recycled chemical building blocks (such as amino acids and nucleotides) via cellular catabolism. As we do not see any significant increases in angiogenesis in our model system, it appears that autophagic glycolytic fibroblasts can promote tumor growth independently of angiogenesis. This may provide tumors with an escape mechanism during anti-angiogenic therapy, explaining why many angiogenesis inhibitors have failed



**Figure 8.** Fibroblasts harboring activated HIF1a promote mitochondrial activity in adjacent cancer cells. MitoTracker is used to visualize functional mitochondria with an active membrane potential. (A) Fibroblasts alone. Note that fibroblasts harboring activated HIF1a show reduced MitoTracker staining, consistent with a decrease in mitochondrial mass and/or membrane potential. (B) Co-culture. Note that fibroblasts harboring activated HIF1a increase the MitoTracker staining profile of adjacent MDA-MB-231 cancer cells, when they are co-cultured. MDA-MB-231 breast cancer cells are marked by GFP expression.

to provide significant clinical benefit in recent therapeutic trials.

Although autophagy in cancer-associated fibroblasts would be expected to provide nutrients for adjacent cancer cells, thereby fueling tumor growth, we hypothesized that autophagy in cancer cells should inhibit tumor growth. We speculated that autophagy in cancer cells should result in their "self-digestion," effectively reducing tumor growth.

To test this hypothesis, we next expressed activated HIF1 $\alpha$  directly in MDA-MB-231 cells, an established breast cancer cell line that we used for our xenograft analyses in combination with fibroblasts. As predicted, expression of activated HIF1 $\alpha$  in these breast cancer cells resulted in the induction of autophagy, as evidenced by strong LC3 staining of autophagosomes. Similarly, in accordance with the idea that Cav-1 is degraded by autophagy, breast cancer cells expressing activated HIF1 $\alpha$  showed a

substantial reduction in Cav-1 expression. However, no changes in mitochondrial status were observed. This is consistent with the previously published notion that the induction of autophagy does not necessarily induce mitophagy; thus, under our experimental conditions autophagy and mitophagy were uncoupled in MDA-MB-231 cancer cells. Most importantly, expression of activated HIF1 $\alpha$  in MDA-MB-231 cells resulted in a 2-fold reduction in tumor mass and a 3-fold reduction in tumor volume. This is consistent with the idea that the induction of autophagy in the tumor compartment may inhibit or block tumor growth.

Although many additional experiments will be required to precisely understand the role of autophagy in the tumor stromal compartment, our current results provide a new "framework" or "working model" for understanding how autophagic glycolytic fibroblasts could fuel tumor growth. Conversely, activation of autophagy in cancer cells could be used to therapeutically target this cell population.

In addition, another conclusion of our studies is that the functional activity of "classic" tumor suppressors and oncogenes may be compartment and cell-type specific. For example, here we have shown that activated HIF1 $\alpha$  behaves as a tumor promoter in cancer-associated fibroblasts and as a tumor suppressor in epithelial cancer cells (summarized in Fig. 16). Thus, tumor suppressor or oncogenic activity may not be an intrinsic property of a given molecule per se, but the cell type where it is expressed may more precisely determine the functional consequences and clinical outcome: as the realtors say, "its location, location, location!"

The autophagic tumor stroma model of cancer. We have recently performed a comprehensive metabolomics analysis of the mammary fad pads derived from Cav-1 (-/-) deficient mice, a pre-clinical model for a "lethal" tumor micro-environment.<sup>31</sup> Interestingly, over 90 metabolites were increased, consistent with a major catabolic phenotype. Based on this unbiased metabolomics analysis, we concluded that Cav-1 (-/-) null mammary fat pads show a metabolic profile consistent with oxidative stress, mitochondrial dysfunction, driving the onset of autophagy/ mitophagy.31 In direct support of this assertion, Cav-1 (-/-) null mammary fat pads also overexpressed markers of autophagy and mitophagy, such as cathepsin B and BNIP3.31 Finally, an informatics analysis of the genome-wide profiles of (1) Cav-1 (-/-) null stromal cells and (2) human tumor stroma derived from breast cancer patients, provided transcriptional evidence for a shift towards oxidative stress and autophagy/mitophagy.<sup>31</sup> Thus, we proposed the new concept that autophagic cancer-associated fibroblasts are fueling the growth of adjacent tumor cells by providing recycled nutrients and chemical building blocks derived from autophagic degradation in the tumor micro-environment. We have termed this idea "the autophagic tumor stroma model of cancer."31 Based on this new model, we proposed that autophagy in the tumor stroma would promote tumor growth, while autophagy in cancer cells would inhibit tumor growth.<sup>31</sup>

Importantly, our current results with the expression of activated HIF1 $\alpha$  in cancer associated fibroblasts versus epithelial cancer cells provide direct experimental support for this model, i.e., that compartment-specific activation of autophagy can dramatically affect tumor growth.

## **Materials and Methods**

Cell cultures. Human immortalized fibroblasts (hTERT-BJ1) and human GFP-positive breast cancer cells (MDA-MB-231-GFP) were grown in Dulbecco's modified Eagle's medium (DMEM) containing 10% fetal bovine serum in a 37°C, 5% CO<sub>2</sub> incubator. MDA-MB-231 (GFP+) cells were the generous gift of Dr. A. Fatatis (Drexel University, Philadelphia, PA).

Retroviruses. Retroviral plasmids [pBabe-puro (vector alone), HA-HIF1α-wt-pBabe-puro, HA-HIF1α-P402A/P564A-pBabe-puro, pBabe-Flag-IKBKE-neo (obtained from Addgene, Cambridge, MA)] were transfected into the Phoenix Amphotropic packaging cell line using FuGene 6 reagent (Roche Molecular Biochemicals), according to the manufacturer's instructions. After 48 h, virus-containing medium was passed through a 0.45 μm filter and added to hTERT-BJ1 or MDA-MB-231-GFP cells in the presence of 5 μg/ml Polybrene. Infection was repeated the next day. Infected cells were selected in the presence of 1.5 μg/ml (for hTERT-BJ1) and 2.0 μg/ml (for MDA-MB-231) puromycin or 500 μg/ml G418 (for hTERT-BJ1).

Immunoblot analysis. Cells were lysed in lysis buffer (10 mM Tris, pH 7.5, 150 mM NaCl, 1% Triton X-100 and 60 mM n-octyl-glucoside), containing protease (Roche Applied Science, Indianapolis, IN) and phosphatase inhibitors (Sigma). After rotation at 4°C for 40 minutes, cell lysates were then centrifuged at 10,000x g for 15 minutes at 4°C to remove insoluble debris. For the anti-hemagglutinin (anti-HA) antibody and anti-HIF1α antibody, cells were lysed in EBC lysis buffer 5 mM Tris, pH 8.0, 120 mM NaCl, 0.5% NP-40, containing protease (Roche Applied Science, Indianapolis, IN) and phosphatase inhibitors (Sigma). Protein concentrations were analyzed using the BCA reagent (Pierce, Rockford, IL). Cell lysates were then separated by SDS-PAGE (10 to 15% acrylamide) and transferred to nitrocellulose. Subsequent wash buffers contained 10 mM Tris, pH 8.0, 150 mM NaCl, 0.05% Tween 20, which was supplemented with 5% nonfat dry milk (Carnation) for the blocking solution and 1% bovine serum albumin for the antibody diluent. For phospho-antibody analysis, the blocking solution contained only 5% BSA in TBS-Tween (without nonfat dry milk). Horseradish peroxidase-conjugated secondary antibodies [anti-mouse, 1:6,000 dilution (Pierce) or anti-rabbit 1:5,000 (BD Biosciences/Pharmingen)] were used to visualize bound primary antibodies, with the Supersignal chemiluminescence substrate (Pierce, Rockford, IL). The membranes were probed with anti-hemagglutinin (anti-HA) mouse monoclonal antibody (HA.11, Covance); and anti-HIF1α mouse monoclonal antibody (NB100-123, Novus Biologicals); monoclonal ANTI-FLAG M2 (cat#F3165, Sigma); anti-NFκBp65 polyclonal antibody (cat#3034, Cell Signaling); anti-caveolin-1 (N20) (cat#sc-894, Santa Cruz); purified mouse anti-caveolin-1 (cat#610407, BD Biosciences); purified mouse anti-caveolin-1 (pY14) (cat#611339, BD Biosciences); anti-vimentin (R28) antibody (cat#3932, Cell Signaling); purified mouse anti-vimentin (cat#550513, BD Biosciences); anti-calponin 1/2/3 (FL-297) (cat#sc-28545, Santa Cruz); anti-BNIP3L

Table 3. Tumor incidence: hTERT fibroblasts alone do not form tumors

Cell line(s) injected	Tumor incidence (10 injections)
pBABE Fibroblasts Alone	0/10; 0%
HIF1a wt Fibroblasts Alone	0/10; 0%
HIF1a mut Fibroblasts Alone	0/10; 0%
IKBKE Fibroblasts Alone	0/10; 0%
HIF1a mut/IKBKE Fibroblasts Alone	0/10; 0%
MDA-MB-231 Alone	9/10; 90%
MDA-MB-231 + pBABE Fibroblasts	10/10; 100%
MDA-MB-231 + HIF1a wt Fibroblasts*	10/10; 100%
MDA-MB-231 + HIF1a mut Fibroblasts**	10/10; 100%
MDA-MB-231 + IKBKE Fibroblasts***	10/10; 100%
MDA-MB-231 + HIF1a mut/IKBKE Fibroblasts**	10/10; 100%

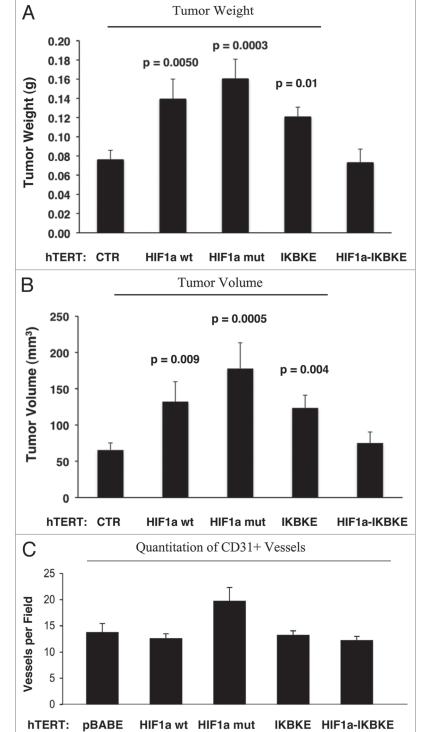
An asterisk (\*) indicates that 20% of the mice injected also showed local lymph node involvement. Asterisks (\*\*) indicate that 60% of the mice injected also showed local lymph node involvement. Asterisks (\*\*\*) indicate that 80% of the mice injected also showed local lymph node involvement.

# Lymph Node Metastasis HIF1a mut IKBKE IKBKE

**Figure 9.** Visualization of peri-nodal metastatic breast cancer cells using GFP. Many of the mice co-injected with fibroblasts (GFP-negative) and cancer cells (GFP-positive) showed lymph node involvement, characterized by enlarged lymph nodes. To validate that this phenomenon was indeed related to lymph node metastasis, tissue sections were cut and used to detect the presence of GFP-positive cancer cells by GFP auto-fluorescence. Note the presence of GFP-positive cancer cells in the peri-nodal fat. Several examples are shown. Nuclei were stained with DAPI (blue color).

antibody (cat#ab8399, abcam); monoclonal anti- $\beta$ -actin antibody (cat#A5441, Sigma).

Proteomic analysis. 2-D DIGE (two-dimensional difference gel electrophoresis) and mass spectrometry protein identification were run by Applied Biomics (Hayward, CA). Image scans were carried out immediately following the SDS-PAGE using Typhoon TRIO (Amersham BioSciences) following the protocols provided. The scanned images were then analyzed by Image QuantTL software (GE-Healthcare) and then subjected to in-gel analysis and crossgel analysis using DeCyder software version 6.5 (GE-Healthcare). The ratio of protein differential expression was obtained from in-gel DeCyder software analysis. The selected spots were picked by an Ettan Spot Picker (GE-Healthcare) following the DeCyder



software analysis and spot picking design. The selected protein spots were subjected to in-gel trypsin digestion, peptides extraction, desalting and followed by MALDI-TOF/TOF (Applied Biosystems) analysis to determine the protein identity.

Fibroblast-cancer cell co-cultures. hTERT-BJ1 fibroblasts and MDA-MB-231-GFP cells were plated onto glass coverslips in 12-well plates in 1 ml of DMEM containing 10% NuSerum (BD Biosciences). Cells were seeded at a 5:1 fibroblast to MDA-MB-231-GFP cell ratio and the total number of cells per well was

**Figure 10.** Fibroblasts harboring activated HIF1 $\alpha$  increase breast cancer tumor growth, without a significant increase in angiogenesis: 4 weeks post-injection. (A and B) Quantitation of tumor mass and volume. Note that the expression of activated HIF1a in fibroblasts substantially promoted tumor growth, resulting in an ~2-fold increase in tumor mass and a near 3-fold increase in tumor volume. Similarly, fibroblasts expressing wild-type HIF1a or IKBKE also promoted tumor growth, but activated HIF1a showed the largest tumor promoting activity. Conversely, co-expression of activated HIF1a and IKBKE in fibroblasts abrogated this tumor promoting activity, as expected based on our in vitro phenotypic analysis. p values are as shown. CTR, represents control. As a control, we injected MDA-MB-231 cells alone or in combination with hTERT-BJ1 fibroblasts transfected with the vector alone (pBABE). Since no significant differences between these 2 control groups were observed, they were combined (N = 19tumors for this control group). For all the other experimental groups, N = 10 tumors per group. (C) Quantitation of tumor angiogenesis. Frozen sections from the tumors were cut and immuno-stained with anti-CD31 antibodies and vessel density was quantitated. Note that no significant increases in vessel density were observed, suggesting that the tumor promoting effects of the transfected fibroblasts we observe are independent of angiogenesis.

1 x 10<sup>5</sup>. As controls, cultures of fibroblasts alone and MDA-MB-231-GFP alone were plated in parallel.

Mitochondrial staining. To label mitochondria, the rosamine-based MitoTracker Orange CMTMRos (cat#M7510, Invitrogen) was used. Lyophilized MitoTracker product was dissolved in DMSO to prepare a 1 mM stock solution. Then, the stock solution was diluted in serum-free DMEM to a final concentration of 25 nM. Cells were incubated with the pre-warmed MitoTracker staining solution for 12 minutes at 37°C. Then, cells were washed in PBS with calcium and magnesium three times and fixed with 2% PFA.

Western blot analysis was also performed using an OXPHOS human antibody cocktail (cat#MS601, MitoSciences) and a membrane integrity WB antibody cocktail (cat#MS620, MitoSciences).

L-lactate assay. hTERT-BJ1 fibroblasts were seeded (1.2 x 10<sup>5</sup> per well) in 12-well plates in 250 µl of complete media. The day after, the media was changed to DMEM containing 2% FBS. After 48 h, the media of each well was collected for measuring lactate concentration using the EnzyChrom<sup>TM</sup> L-Lactate Assay Kit (cat#ECLC-100, BioAssay

Systems). After removing the media, the remaining attached cells were harvested to determine their protein content. Finally, the amount of L-lactate in the media was normalized for total cell protein content.

Immunofluorescence microscopy. Cells were plated onto glass coverslips in 12-well plates in 1 ml of complete media. The day after cells were washed in PBS with calcium and magnesium three times and fixed with 2% PFA. After fixation, cells were permeabilized in 0.1% Triton X-100/0.2%

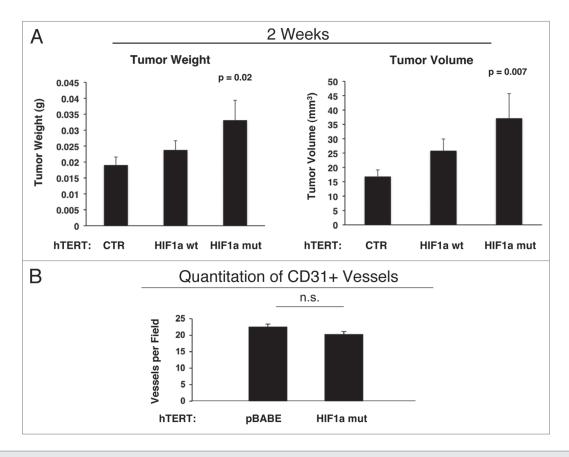


Figure 11. Fibroblasts harboring activated HIF1 $\alpha$  increase breast cancer tumor growth: 2 weeks post-injection. The tumor promoting effects of fibroblasts harboring activated HIF1a were also assessed at 2 weeks post tumor cell injection. CTR, represents control. As a control, we injected MDA-MB-231 cells alone or in combination with hTERT-BJ1 fibroblasts transfected with the vector alone (pBABE). Since no significant differences between these 2 control groups were observed, they were combined (N = 20 tumors for this control group). For all the other experimental groups, N = 10 tumors per group. Note that the tumor promoting effects of fibroblasts expressing activated HIF1a are still evident earlier on in tumorigenesis, with significant increases in tumor mass and volume (A), but no significant increases in angiogenesis were observed (B). p values are as shown. n.s., not significant.

BSA/PBS for 10 minutes. Cells were then treated with 25 mmol/L NH<sub>4</sub>Cl in PBS for 10 minutes to quench free aldehyde groups. After rinsing with PBS, cells were incubated with anti-BNIP3L BH3 Domain antibody (cat#AP1320A, Abgent); anti-BNIP3L antibody (cat#ab8399, Abcam); anti-LC3A/B antibody (cat#ab58610, Abcam); anti-LC3 antibody (APG8B) (N-term) (cat#AP1802a, Abgent); or the anti-BNIP3 antibody (cat#ab10433, Abcam).

Animal studies. All animals were housed and maintained in a barrier facility at the Kimmel Cancer Center at Thomas Jefferson University under National Institutes of Health (NIH) guidelines. Mice were kept on a 12 hour light/dark cycle with ad libitum access to chow and water. Animal protocols used for this study were pre-approved by the Institutional Animal Care and Use Committee. Briefly, MDA-MB-231-GFP cancer cells (1 x 10<sup>6</sup> cells) alone or admixed with hTERT-BJ1 fibroblasts (3 x 10<sup>5</sup> cells) in 100 µl of sterile PBS, were injected into the flanks of athymic NCr nude mice (NCRNU; Taconic Farms; 6–8 weeks of age). Mice were then sacrificed at 2 or 4 weeks post-injection; tumors were dissected to determine their weight and size using calipers. Tumor volume was calculated using the formula (X²Y)/2, where X and Y are the short and long dimensions, respectively, of the

tumor. MDA-pBABE, MDA-HIF1 $\alpha$ -wt or MDA-HIF1 $\alpha$ -mut (1 x 10<sup>6</sup> cells) were injected into the flanks of athymic NCr nude mice. Mice were sacrificed at 2 weeks post-injection.

Quantitation of tumor angiogenesis. Immunohistochemical staining for CD31 was performed on frozen tumor sections using a 3-step biotin-streptavidin-horseradish peroxidase method. Frozen tissue sections (6 µm) were fixed in 2% paraformaldehyde in PBS for 10 min and washed with PBS. After blocking with 10% rabbit serum the sections were incubated overnight at 4°C with rat anti-mouse CD31 antibody (BS Biosciences) at a dilution of 1:200, followed by biotinylated rabbit anti-rat IgG (1:200) antibody and streptavidin-HRP. Immunoreactivity was revealed with 3, 3' diaminobenzidine. For quantitation of vessels, CD31-positive vessels were enumerated in 4–6 fields within the central area of each tumor using a 20x objective lens and an ocular grid (0.25 mm² per field). The total numbers of vessel per unit area was calculated using Image J and the data was represented graphically.

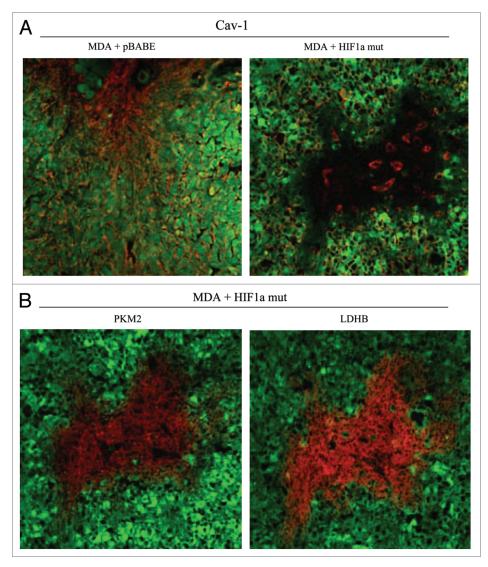
Immunohistochemistry. Frozen tumor tissue sections (6 μm) were fixed with 1% paraformaldehyde in PBS and labeled with either Cav-1 (1:1,000, Santa Cruz Biotechnology, Santa Cruz, CA), rabbit antiserum to PKM2 (1:1,000; kindly provided by

Dr. Matthew Vander Heiden, Boston, MA) or a rabbit antibody to LDHB (1:500; Sigma AV48210, St. Louis, MO). Antibody binding (red fluorescence) was detected with goat anti-rabbit Alexa Fluor 546 (1:1,000; Invitrogen Molecular Probes, Eugene, OR). The green fluorescence is the "endogenous" signal from MDA-MB-231-GFP cells.

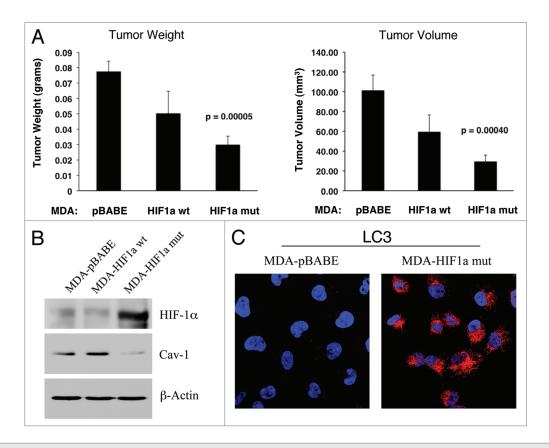
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**Figure 12.** Activated HIF1α downregulates Cav-1 in the tumor stromal compartment: Stromal co-localization with PKM2 and LDHB. (A) Cav-1 immunostaining. To assess whether expression of activated HIF1a in fibroblasts results in mammary tumors with a Cav-1 negative fibroblastic stromal compartment, consecutive frozen sections were cut from mammary tumors that were grown with fibroblasts expressing activated HIF1a. Note that Cav-1 expression is substantially reduced in the stromal compartment of these tumors, but is selectively retained in the micro-vasculature (endothelial cells), exactly as is observed in human breast cancers in vivo. Tumor cells are marked by GFP (green fluorescence). (B) PKM2 and LDHB immunostaining. The presence of these Cav-1 negative fibroblasts was confirmed in consecutive sections (compare with part A, shown at right) by employing antibodies directed against glycolytic enzymes, such as PKM2 and LDHB. Note that PKM2 and LDHB immuno-staining nicely coincides with the Cav-1 negative stromal area in these MDA-MB-231 tumor tissue sections, and does not significantly overlap with the tumor cells which are marked by GFP (green fluorescence).



**Figure 13.** Expression of activated HIF1 $\alpha$  in human breast cancer cells suppresses tumor growth and induces autophagy. (A) Quantitation of tumor mass and volume. We hypothesized that HIF1a expression in cancer cells would retard tumor growth, due to the "self-digestion" of the tumor cells. To test this hypothesis, MDA-MB-231 cells were injected into the flanks of nude mice. Two-weeks post-injection, tumors were harvested. Note that the expression of activated HIF1a in MDA-MB-231 tumor cells significantly retards tumor growth, resulting in >2-fold reduction in tumor mass and a >3-fold reduction in tumor volume. p values are as shown. For all the experimental groups, N = 10 tumors per group. (B) Activated HIF1 $\alpha$  in human breast cancer cells downregulates Cav-1 protein expression. To study the possible compartment-specific effects of HIF1a activation on breast cancer tumor growth, we also directly expressed HIF1a (wt or mutationally activated) in MDA-MB-231 cells. Cav-1 expression was monitored with antibodies directed against total Cav-1. Note that activated HIF1a downregulates total Cav-1 levels. Immunoblotting with beta-actin is shown as a control for equal loading. (C) Localization of LC3, an autophagy marker, in activated HIF1 $\alpha$  transfected breast cancer cells. LC3 is a well-established marker of autophagy. Note that LC3 immuno-staining yields a characteristic fluorescence pattern that is consistent with the autophagic phenotype, in human breast cancer cells expressing activated HIF1a.

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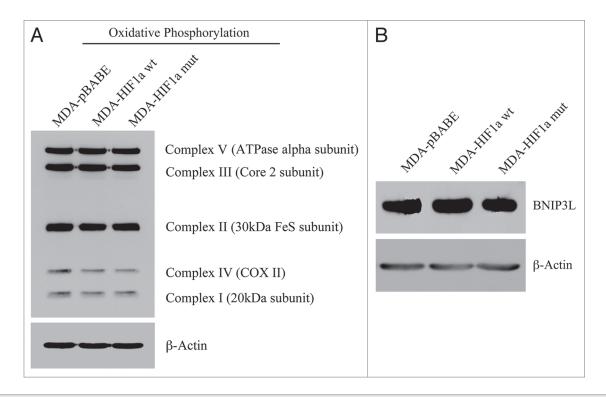
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### Note

Supplementary materials can be found at: www.landesbioscience.com/supplement/ChiavarinaCC9-17-sup.pdf

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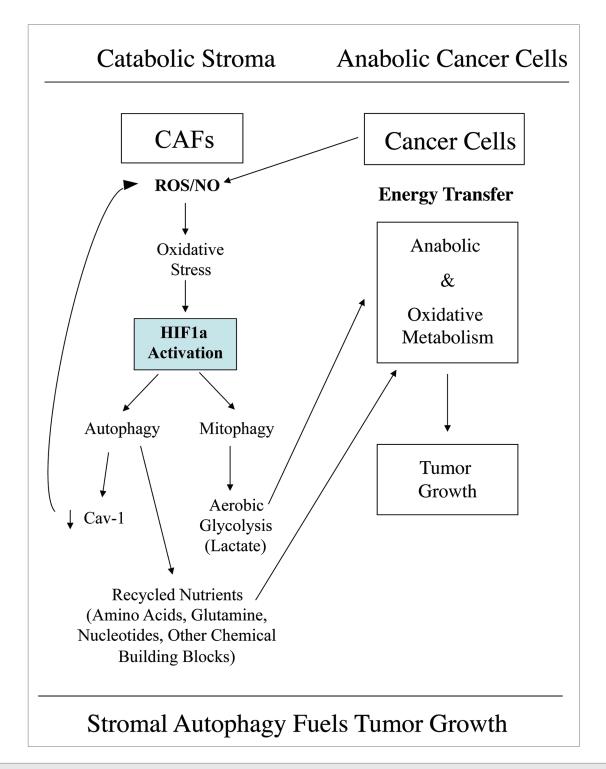


**Figure 14.** Activated HIF1a in Human Breast Cancer Cells Does Not Appear to Induce Mitophagy. (A) Mitochondrial respiratory complexes. To assess the status of the mitochondrial respiratory chain, breast cancer cell lysates were prepared and subjected to immunoblot analysis with a battery of antibodies directed against mitochondrial complex components (I–V). Note that expression of activated HIF1a does not affect components of mitochondrial complexes I–V. Immunoblotting with beta-actin is shown as a control for equal loading. (B) BNIP3L expression. Note that expression of HIF1a (wild-type or mutationally-activated) does not affect BNIP3L expression. Immunoblotting with beta-actin is shown as a control for equal loading.

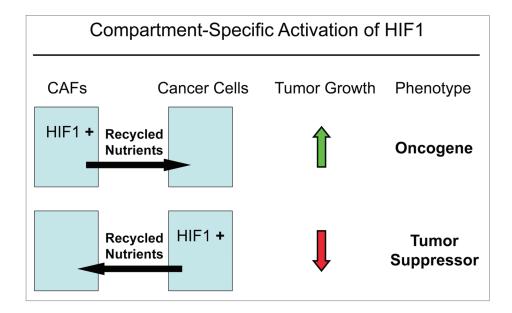
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**Figure 15.** Autophagic cancer associated fibroblasts promote tumor growth, via the paracrine production of recycled nutrients. Previously, we demonstrated that cancer cells induce oxidative stress in adjacent fibroblasts, thereby conferring an autophagic cancer associated fibroblast (CAF) phenotype. This results in the autophagic destruction of of caveolae (Cav-1) and mitochondria, which produces recycled nutrients via aerobic glycolycis (such as lactate) that can then be transferred to cancer cells, to stimulate tumorigenesis, via oxidative phosphorylation ("the reverse Warburg effect"). Similarly, loss of stromal Cav-1 is sufficient to promote more oxidative stress, via increased NO production and the resulting mitochondrial dysfunction.<sup>13,15</sup> Here, we show that we can mimic this process simply by overexpressing activated HIF1a (highlighted in blue) in the stromal fibroblast compartment. Similar results were obtained with NFκB-activation, which also is sufficient to drive the induction of autophagy/mitophagy. Thus, we suggest that catabolism in the fibroblasts "fuels" the anabolic growth of adjacent epithelial cancer cells, via "recycled" nutrients. ROS, reactive oxygen species; NO, nitric oxide.



**Figure 16.** The functional activity of tumor suppressors and oncogenes may be cell-type and compartment specific: HIF1a as a key example. Here, we have shown that activated HIF1a behaves as a tumor promoter in cancer-associated fibroblasts (CAFs) and as a tumor suppressor in epithelial cancer cells. Thus, tumor suppressor or oncogenic activity may not be an intrinsic property of a given molecule per se, but where it is expressed may determine the functional consequences and clinical outcome. As such, the functional activity of "classic" tumor suppressors and oncogenes is compartment and cell-type specific. Horizontal arrows indicate the presumed direction of energy transfer (via Recycled Nutrients) based on autophagy induced by the expression of activated HIF1a. HIF1+, denotes HIF1-alpha activation/stabilization.